



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
-----------------	-------------	----------------------	---------------------	------------------

10/677,273

10/03/2003

Michel Linares

Q77862

8742

23373 7590 06/30/2009  
SUGHRUE MION, PLLC  
2100 PENNSYLVANIA AVENUE, N.W.  
SUITE 800  
WASHINGTON, DC 20037

EXAMINER

SAN JUAN, MARTINJERIKO P

ART UNIT

PAPER NUMBER

2432

MAIL DATE

DELIVERY MODE

06/30/2009

PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 10/677,273	<b>Applicant(s)</b> LINARES, MICHEL	
	<b>Examiner</b> MARTIN JERIKO P. SAN JUAN	<b>Art Unit</b> 2432	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 23 April 2009.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-8 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-8 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All    b) ☐ Some \*    c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)          | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)          | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____  | 6) <input type="checkbox"/> Other: _____                          |

### **DETAILED ACTION**

This is a response to Applicant's Remarks filed on April 23, 2009.

Claims 1-8 are currently pending.

1. The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.

### ***Response to Arguments***

1. Applicant's arguments, see Remarks and an Official translation of the priority document (FR Application No. 0212404) in order to perfect the claim for priority, filed April 23, 2009, with respect to the rejection(s) of claim(s) 1-8 under 35 USC 103(a) have been fully considered and are persuasive. Therefore, the rejection has been withdrawn. However, upon further consideration, a new ground(s) of rejection is made.

### ***Claim Rejections - 35 USC § 103***

1. Claims 1-8 are rejected under 35 U.S.C. 103(a) as being unpatentable over Swensen [US 5420883] in view of Bodin [US 5987139], Lonn [NPL 1999], and Fuhrmann [US 2003/0067873 A1].

Regarding claim 1, Swensen teaches a secure method of exchanging information messages sent successively from a sending platform to a receiving platform utilizing TDMA [Swensen 8: 9-28], the method comprising: b) an information message transmission sequence in which: said information messages are sent successively by sending platform at given time intervals  $\Delta T_E$  [Swensen 6: 51-57 –length of TDMA

Art Unit: 2432

cycle] based on a clock specific to said sending platform [Swensen 17: 16-36], so that said first message  $M_1$  is sent at date  $t_1$  on said clock and the  $n^{\text{th}}$  message  $M_n$  is sent at the date  $t_n$  [Swensen 6: 53-54], and the messages received by receiving platform are processed as a function of their reception date  $t_r$  [Swensen 14: 22-25] based on a clock specific to receiving platform said clock of said receiving platform [Swensen 17: 16-36] being synchronized to said date  $t_1$  on receiving said first message  $M_1$  [Swensen 17: 58-59].

Swensen does not explicitly teach each message  $M_n$  being coded by means of a dynamic code  $C_n$  specific to said date  $t_n$  of sending said message, and said messages received in a same observation time window  $F_n$  containing  $t_n$  with a width  $\Delta T_F$  are decoded using a decoding sequence  $DC_n$  adapted to decode said dynamic code  $C_n$ , regardless of an unsuccessful decoding of the previous message  $M_{n-1}$ .

Bodin teaches encrypting messages utilizing TDMA communications between a transmitter and a receiver, the method wherein each message  $M_n$  being coded by means of a dynamic code  $C_n$  specific to said date  $t_n$  of sending said message [Bodin 4: 15-51 --PSm' exclusive or with non-encrypted information], and said messages received in a same observation time window  $F_n$  containing  $t_n$  with a width  $\Delta T_F$  [Bodin 1: 33-35 --length of each time slot] are decoded using a decoding sequence  $DC_n$  adapted to decode said dynamic code  $C_n$  [Bodin 4: 15-51 --PSm' exclusive or with encrypted information since this would have been the inverse of an exclusive or encryption operation], regardless of an unsuccessful decoding of the previous message  $M_{n-1}$  [Bodin

Art Unit: 2432

1: 15-51 --Encryption/decryption of messages is not dependent on previous message data.].

It would have been obvious to one of ordinary skilled in the art at the time of invention to modify Swensen by encrypting messages as a function of the time slot as taught by Bodin. The suggestion/motivation would have been to enhance security since encryption would also be dependent on the time slot assignment [Bodin 3: 64-67].

Swenson in view of Bodin is silent about an initialization sequence in which an initialization message containing information relating to a date  $t_1$  for sending a first information message  $M_1$  is exchanged between sending platform and receiving platform so that sending platform and receiving platform know said date  $t_1$  for sending said first information message  $M_1$ .

Lonn teaches TDMA communications, the method comprising an initialization sequence in which an initialization message containing information relating to a date  $t_1$  for sending a first information message  $M_1$  is exchanged between sending platform and receiving platform so that sending platform and receiving platform know said date  $t_1$  for sending said first information message  $M_1$  [Lonn Section 1: Par 3-5].

It would have been obvious to one of ordinary skilled in the art at the time of invention to modify Swenson in view of Bodin by having an initialization sequence as taught by Lonn. The suggestion/motivation would have been to synchronize TDMA communication between two parties upon start of a communication.

Art Unit: 2432

Swensen in view of Bodin, and Lonn does not teach clocks specific to sending platform have a sending time tolerance,  $\delta$ .

Fuhrmann teaches that in TDMA communications, local independent clocks are used with a tolerance of,  $\delta$  [Fuhrmann 2: 0018].

It would have been obvious to one of ordinary skilled in the art at the time of invention to modify Swenson in view of Lonn by incorporating a tolerance,  $\delta$ , in the communications as taught by Fuhrmann. The suggestion/motivation for combining would have been to increase the precision of synchronizing TDMA communication between transmitters and receivers by knowing that independent clocks have tolerances of  $\delta$  [Fuhrmann 2: 0018].

Swenson in view of Bodin, Lonn, and Fuhrmann would have taught that  $t_n = t_1 + (n-1) * \Delta T_E + \delta$  since this is merely describing in equation form the timeslot based on messages being sent with respect to each other at different time cycles incorporating the tolerance of the clock. Swensen, in view of Bodin, Lonn and Fuhrmann teach every element/aspect of this equation.

With regard to claim 2, Swensen in view of Bodin, Lonn, and Fuhrmann teach the secure method claimed in claim 1 of exchanging information messages, wherein during said initialization sequence a) a coded initialization message  $M_0$  is sent from said sending platform to said receiving platform and a coded initialization message  $M'_0$  is sent from said receiving platform to said sending platform, said initialization messages  $M_0$ ,  $M'_0$  containing the information relating to said date  $t_1$  for sending said first

Art Unit: 2432

information message  $M_1$ , and said initialization messages  $M_0$ ,  $M'_0$  being decoded by said sending platform and said receiving platform which then know said date  $t_1$  for sending said first information message  $M_1$  [Swensen 13: 26-47 – Swensen discloses the means for Control stations and Wayside Stations to communicate and achieve synchronized communication.] [Lonn Section 1: Par 3-5].

With regard to claim 3, Swensen in view of Bodin, Lonn, and Fuhrmann teach the secure method claimed in claim 1 of exchanging information messages, wherein, if said first message  $M_1$  is not received within an allotted time after reception of said initialization message, said clock of said sending platform is automatically synchronized to said date  $t_1$  at the moment corresponding to the end of the allotted time [Lonn Section 2: 6 --Not receiving  $M_1$  within an allotted time after reception of said initialization message would have meant that the system is out of synchronization, and the system automatically enters resynchronization teaching “automatically synchronized to said data  $t_1$  at the moment corresponding to the end of the allotted time.”].

With regard to claim 4, Swensen in view of Bodin, Lonn, and Fuhrmann teach the secure method of exchanging information messages, wherein said observation window  $F_n$  corresponds to a time window  $[t_1 + (n-1) * \Delta T_E - \Delta T_F * \varepsilon, t_1 + (n-1) * \Delta T_E + \Delta T_F * (1-\varepsilon)]$ ; where the width of the observation window  $\Delta T_F$  satisfies the equation  $\Delta T_F < \Delta T_E$  and  $\varepsilon$  is from 0 to 1. [This equation would have been taught by Swenson in view of Bodin, Lonn, and Fuhrmann, since this is merely describing in equation form the time coordinate of a

Art Unit: 2432

certain timeslot in a given time period. Swensen, in view of Bodin, Lonn, and Fuhrmann teach every element/aspect of this equation.]

With regard to claim 5, Swensen in view of Bodin, Lonn, and Fuhrmann teach a secure method of exchanging information messages, wherein a clock synchronization signal is sent regularly by sending platform between sending messages  $M_n$ . [Swensen, Col 19, Ln 64-68].

With regard to claim 6, Swensen in view of Bodin, Lonn, and Fuhrmann teach a secure method of exchanging information messages, where information messages decoded by receiving platform are transmitted to an information processing module [Swensen, Fig 11 – Messages regarding train speed, update, and control are transmitted to various information processing modules.].

With regard to claim 7, Swensen in view of Bodin, Lonn, and Fuhrmann discloses a secure method of exchanging information messages, where messages received by receiving platform during an observation window  $F_n$  are stored sequentially in a memory able to store only one message at a time and only the message stored in memory at the end of observation window  $F_n$  is transmitted to said information processing module. [Swensen, Fig 22-26 – demultiplexing data and processing.]



Art Unit: 2432

With regard to claim 8, Swensen in view of Bodin, Lonn, and Fuhrmann discloses a secure method of exchanging information messages, where sending platform is part of a centralized control station of a rail traffic supervision and control system, receiving platform is part of a fixed installation disposed alongside a rail track, and information processing module is a control unit on board a train circulating on a track section associated with fixed installation. [Swensen, Fig 1]

### ***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to MARTIN JERIKO P. SAN JUAN whose telephone number is (571)272-7875. The examiner can normally be reached on M-F 8:30a - 6:00p EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Gilberto Barron can be reached on 571-272-3799. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system.

/Martin Jeriko San Juan/  
Examiner, Art Unit 2432

/Gilberto Barron Jr./  
Supervisory Patent Examiner, Art Unit 2432